

**UNITED STATES SENATE**  
**COMMITTEE ON ENERGY AND NATURAL RESOURCES**  
**STATEMENT FOR COMPLETION BY PRESIDENTIAL NOMINEES**

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1. Name: James Richard Perry

(first) (middle) (last)

2. Position to which nominated

Secretary, Department of Energy

3. Date of nomination

December 14, 2016

4. Place of birth:

Haskell, Texas

5. Name of spouse: Anita Thigpen Perry

(first) (middle) (last)

6. Spouse's occupation: N/A

Spouse's employer: N/A

7. Education: Please list, in chronological order, from the earliest to the latest, each college, university, and graduate school you attended, when you attended, the degrees you received, and the date on which you received it.

Name of institution	Dates attended	Degree received	Date of degree
Texas A & M University	August 1968 – August 1972	BS Animal Science	August 1972

8. Employment: Please list, in chronological order, from the earliest to the present, all positions held since college, including dates of employment, your title or job description, the name of the employer, and the city and state in which you were employed.

Dates	Title	Name of employer	Location
August 1972- March 1977	Pilot- 2 <sup>nd</sup> LT '72-'74, 1 <sup>st</sup> LT '74-'76, CPT '76-'77	US Air Force	Dyess AFB, Abilene, TX
March 1977-	Partner	J.R. Perry Farms	Haskell, TX
January 1991			
January 1985-	State Representative	State of Texas	Austin, TX
January 1991			
January 1991-	Agriculture	State of Texas	Austin, TX
January 1999	Commissioner		
January 1999-	Lt. Governor	State of Texas	Austin, TX
December 2000			
December 2000-	Governor	State of Texas	Austin, TX
January 2015			
February 2015-December 2016-	Energy Transfer Partners Board Member		Dallas, TX
March 2015-December 2016-	Sunoco Logistics Partners LP Board Member		Philadelphia, PA
May 2016-December 2016-	CellTex Therapeutics Corp. Board Member		Houston, TX
May 2016-December 2016-	CellTex Therapeutics Corp. Consultant		Houston, TX
October 2015-April 2016-	MCNA Insurance Company Consultant		Fort Lauderdale, FL
April 2016-December 2016-	MCNA Insurance Company Board Member		Fort Lauderdale, FL
March 2016-October 2016-	McKenna & Associates Consultant		Arlington, VA
March 2015-December 2015-	Holt Texas Consultant		San Antonio, TX

March 2015-December 2016- Grey Rock Energy Partners Advisory Board Member	Dallas, TX
January 2013-October 2015- J.R. Perry & Company General Partner	Haskell, TX
February 2015-Present- ARP Holdings Manager	Austin, TX
Honoraria:	
4/22/2015 Microsoft	Seattle, WA
4/23/2015 Asian American Hotel Owners Association	Atlanta, GA
4/30/2015 Ashland University	Ashland, OH
2/1/16 Omnitrac	Dallas, TX
2/3/16 Bond Buyer	New York, NY
3/2/16 American Association of Orthopaedic Surgeons	Washington, DC
3/17/16 Texas Public Policy Foundation	Austin, TX
3/17/16 Texas Public Policy Foundation	Austin, TX
5/3/16 Texas Public Policy Foundation	Austin, TX
6/20/16 Radius Financial Education	Toronto, Canada
7/27/16 Texas Public Policy Foundation	Austin, TX
August, September and December 2016 Entertainment Partners Worldwide	Burbank, CA
10/3/16 State Policy Network	Arlington, VA
10/8/16 Law Office of George Martin, Inc.	Bakersfield, CA
11/10/16 Messianic Jewish Bible Institute	Eules, TX

9. Military service: Please describe any military service not listed above, including dates, rank, and type of discharge.

Military service described in response to question 8. I received an honorable discharge.

10. Honors and awards: Please list any scholarships, fellowships, honorary degrees, military medals, honorary society memberships, and any other special recognition for outstanding service or achievement you have received.

National Defense Service Medal – 1972-1977

Distinguished Eagle Scout – 1992

Distinguished Alumni Texas A & M University – 2002

Additional honors and awards received in connection with government service available upon request.

11. Publications: Please list the titles, publishers, and dates of any books, articles, or reports that you have written.

On My Honor: Why the American Values of the Boy Scouts Are Worth Fighting For, Stroud and Hall, 2008

Fed Up! Our Fight to Save America from Washington, Little, Brown and Co., 2010

I have written numerous other articles throughout my career which I do not have catalogued but will make available upon request.

12. Testimony: Please identify each instance in which you have testified before Congress in a non-governmental capacity.

Subject of testimony	Committee	Date
N/A	N/A	N/A

13. Financial report: Please attach a copy of your Executive Branch Personnel Public Financial Disclosure Report (SF 278), and a statement from the appropriate agency ethics official explaining the applicable laws or regulations applicable to the position to which you have been nominated, any potential conflicts of interest, and any action required to resolve such conflicts.

14. Clients: At any time during the past 5 years, have you or any company or firm with which you have been affiliated, received a fee or payment of more than \$5,000 from any source that is not listed on Schedule D, Part II of your SF 278, for services you performed for that source? If so, Please identify the source of the payments, the nature of the service you performed, and the period of time when you performed the service.

Fees or payments of more than \$5,000 are reflected on Form SF 278.

#### ADDITIONAL QUESTIONS

15. Agreements or arrangements:

(a) Will you sever all connections with your present employer, business firm, association, or organization if you are confirmed by the Senate? If not, please explain.

Yes.

(b) Has anyone made a commitment to you to employ or compensate you after you leave public service? If so, please explain.

No.

16. Term of service: If confirmed, are you willing and able to serve for your full term (if appointed for a fixed term) or until the end of the current Presidential Administration (if appointed for an indefinite term)? If not, please explain.

Yes.

17. Legal proceedings:

(a) Are you currently a party to any legal action? If so, please describe its nature and status.

No.

(b) Have you ever declared bankruptcy? If so, please describe the circumstances.

No.

(c) Have you ever been investigated, arrested, or charged by any federal, state, or local law enforcement authority for the violation of any federal, state, or local law, regulation, or ordinance, other than a minor traffic offense? If so, please explain.

On August 15, 2014, I was indicted by a Travis County (Austin), Texas grand jury on two charges, both ostensibly arising from my response to the Democratic Travis County District Attorney being arrested for, and convicted, of driving while intoxicated.

The first charge was for "abuse of official capacity", which was based on my alleged comment that I would veto a bill that, in part, provided \$7.5 million in funding to the Travis County District Attorney's office, as long as the sitting District Attorney remained in her position.

The second charge was for "coercion of a public servant" which was also based on my ultimate veto of the funding bill.

Legal experts of all political views uniformly criticized the investigation and indictment as a politically-motivated sham.

My lawyers filed several pre-trial challenges to the indictments. In February 2016, the State's highest criminal court dismissed the abuse of official capacity charge and upheld the intermediate court's earlier dismissal of the coercion of a public official charge.

The dismissed charges have since been expunged.

(d) Have you ever been convicted (including a plea of guilty or no contest) of any criminal violation other than a minor traffic offense? If so, please explain.

No.

(e) Have you ever been disciplined or cited for a breach of ethics for unprofessional conduct by, or been the subject of a complaint to, any court,

administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No, other than the circumstances described in Question 17(c).

**18. Taxes:**

(a) Have you and your spouse filed all federal, state, and local income tax returns?

Yes.

(b) Have you or your spouse ever filed a late income tax return without a valid extension? If so, please explain.

No.

(c) Have you or your spouse ever paid any tax penalties or been subject to a tax lien or other tax collection procedure? If so, please explain.

No.

**19. Foreign ties:**

(a) Have you or your spouse ever represented in any capacity (e.g., employee, attorney, accountant, consultant, or business, media, or political adviser), with or without compensation, a foreign government or an entity controlled by a foreign government? If so, please fully describe such relationship.

No.

(b) During the past 10 years, have you or your spouse received any compensation from, or been involved in any financial or business transactions with, a foreign government or an entity controlled by a foreign government? If so, please furnish details.

No.

(c) Have you or your spouse ever registered under the Foreign Agent Registration Act? If so, please furnish details.

No.

20. Lobbying: Please describe any lobbying activity that you have performed during the past 10 years with respect to legislative or administrative actions at the Federal, State, or local level.

I registered as an executive branch lobbyist in the State of Florida for MCNA Dental Plans in early 2016. The effective date of my registration was January 12, 2016 and it was withdrawn on April 6, 2016.

21. Appearances before Congress: If confirmed, will you be available to appear before this Committee and other Congressional committees to represent agency positions and respond to issues of concern to the Congress?

Yes.

22. Conflicts of interest:

(a) Have your investments, personal holdings, and other interest been reviewed by yourself and the appropriate ethics counselors within the Federal Government to determine if any of your investments, personal holdings, or other interest could constitute a conflict of interest or create the appearance of such a conflict?

Yes.

(b) Have you taken appropriate action to avoid any conflict of interest or any appearance of a conflict of interest?

Yes.

(c) To the best of your knowledge, do any of your investments, personal holdings, or other interests present a conflict of interest or an appearance of a conflict of interest?

No.

23. Recusal: The Committee on Energy and Natural Resources requires every Presidential nominee it considers to commit to adhere to the Recusal Policy adopted by the Committee on February 16, 2011 (a copy of which is attached to this Statement). If confirmed, will you adhere to the Committee's recusal policy?

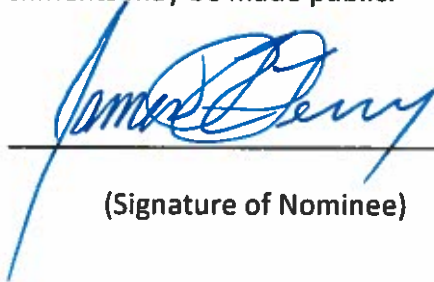
Yes.



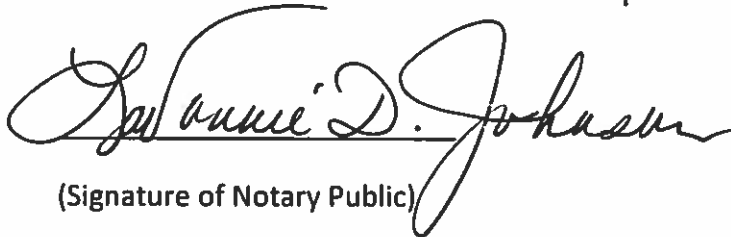
AFFIDAVIT

I, James Richard Perry, being duly sworn, hereby state that I have read the foregoing Statement for Completion by Presidential Nominees, including all attachments thereto, and that the information provided therein is, to be best of my knowledge and belief, current, accurate, and complete.

I understand that the Statement and its attachments may be made public.

  
\_\_\_\_\_  
(Signature of Nominee)

Subscribed and sworn to before me this 9<sup>th</sup> day of January, 2017.

  
\_\_\_\_\_  
(Signature of Notary Public)



February 16, 2011

**United States Senate**  
**Committee on Energy and Natural Resources**  
**Recusal Policy**

Each nominee coming before the Committee on Energy and Natural Resources shall agree to:

- (1) Abide by the recusal requirements imposed by federal conflict of interest laws and the Standards of Ethical Conduct for Employees of the Executive Branch;
- (2) Seek the advice of his or her designated agency ethics officer before proceeding whenever faced with a situation that may give rise to an actual or apparent conflict of interest; and
- (3) Adhere to the principles of ethical conduct and avoid any actions creating the appearance of violating the Standards of Ethical Conduct for Employees of the Executive Branch.